

06-07-01

A



ATTORNEY DOCKET NO.: CIS01-03(3705)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: James A. Aviani, Carl D. Sutton and Douglas A. Gourlay
Serial No.: Unassigned
For: METHODS AND APPARATUS FOR MANAGING ACCESS TO
DATA THROUGH A NETWORK DEVICE
Filing Date: June 6, 2001
Examiner: Unassigned
Art Unit: Unassigned

UTILITY PATENT APPLICATION TRANSMITTAL

Certificate of Mailing Under 37 C.F.R. 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: **BOX PATENT APPLICATION**, Assistant Commissioner for Patents, Washington, DC 20231 on:

Date: June 6, 2001

By: Crystal Slason
(Typed or printed name of person mailing
Document, whose signature appears below)

Express Mail Mailing
Label No. EF178937781US

Signature: Crystal Slason

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing is a patent application entitled:

**METHODS AND APPARATUS FOR MANAGING ACCESS TO
DATA THROUGH A NETWORK DEVICE**

Named Inventor(s) / Inventor Address(es) / Inventor Citizenship(s):

James A. Aviani
170 Olive Mill Road
Santa Barbara, California 93108

Citizenship: United States of America

Carl D. Sutton
808 Portola Road
Portola Valley, California 94028-7207

Citizenship: United States of America

Douglas A. Gourlay
201-B Valley Street
Sausalito, California 94965

Citizenship: United States of America

**REQUEST AND CERTIFICATE FOR NON-PUBLICATION
UNDER 35 U.S.C. 122(b)(2)(B)(i)**

I HEREBY CERTIFY THAT THE INVENTION DISCLOSED IN THE ATTACHED APPLICATION HAS NOT AND WILL NOT BE THE SUBJECT OF AN APPLICATION FILED IN ANOTHER COUNTRY, OR UNDER A MULTILATERAL AGREEMENT, THAT REQUIRES PUBLICATION AT EIGHTEEN MONTHS AFTER FILING. I HEREBY REQUEST THAT THE ATTACHED APPLICATION NOT BE PUBLISHED UNDER 35 U.S.C. 122(B).

Enclosed is/are:

- [x] Transmittal Letter including fee calculations (this form, 4 pages) (in duplicate), Total Pages: 8;
- [x] REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i); Total Pages: 1;
- [x] Utility Patent Application Specification including Title of the Invention, Background of the Invention, Summary of the Invention, Brief Description of the Drawings, Detailed Description, 36 Claims (8 Independent, 28 dependent), Abstract of the Disclosure, Total Pages: 60;
- [x] Drawings: [x] Formal, [] Informal (Figs: 1, 2A, 2B, 3A, 3B, 4), Total Sheets: 5;
- [x] Newly Executed Oath/Declaration/Power Of Attorney, Total Pages: 9;
- [x] Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2;
- [x] Check in the amount of: \$1,398.00, with fee amounts calculated as follows:

CLAIMS	Number Filed	Number Extra	Rate	Calculations
Total Claims	36 - 20 =	16	x \$18	\$ 288.00
Independent Claims	8 - 3 =	5	x \$80	\$ 400.00
Multiple Independent Claims		+ 260 =		\$ 0.00
		BASIC FILING FEE =		\$ 710.00
		Total of Above Calculations =		\$1,478.00
		Assignment Recordation Fee =		\$ 0.00
		TOTAL FEE FOR THIS PATENT APPLICATION =		\$1,398.00

- 4 -

Please direct all telephone calls and address all correspondence to:

Barry W. Chapin, Esq.
USPTO Registration No. 39,934
Attorney for Applicants
CHAPIN & HUANG, L.L.C.
Westborough Office Park
1700 West Park Drive
Westborough, Massachusetts 01581
United States of America
Telephone: (508) 366-9600
Facsimile: (508) 616-9805

If the enclosed fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 50-0901.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

By:


Barry W. Chapin, Esq.
USPTO Registration No. 39,934
Attorney for Applicants
CHAPIN & HUANG, L.L.C.
Westborough Office Park
1700 West Park Drive
Westborough, Massachusetts 01581
United States of America
Telephone: (508) 366-9600
Facsimile: (508) 616-9805

Attorney Docket No.: CIS01-03(3705)

Dated: June 6, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	James Aviani
	Title	METHODS AND APPARATUS FOR MANAGING ACCESS TO DATA THROUGH A NETWORK DEVICE
	Atty. Docket Number	CIS01-03(3705)

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

June 6, 2001

Date



Signature

Barry W. Chapin

Type or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 35 U.S.C. 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington DC 20231.